## **GDR Neutrino Meeting**

## **Present and future challenges** in neutrino oscillations

Thomas Schwetz SISSA, Trieste

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T. Schwetz, GDR neutrino meeting, Paris, 20-21 october 2005 - p.1



status of three-flavour neutrino oscillations

### Outline

#### Introduction

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• The LSND experiment and the status of sterile neutrinos

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- The LSND experiment and the status of sterile neutrinos
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leading solar and atmospheric parameters determination of  $\theta_{13}$ , the CP-phase, and the mass hierarchy

#### Summary

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- tritium beta decay experiments
- neutrino-less double-beta decay experiments
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#### but I stress that

such experiments are an important part of the neutrino program, and provide complementary information to oscillation experiments

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#### natural explanation in three-flavour framework

$$\Delta m_{31}^2 \qquad \qquad \Delta m_{21}^2$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta}s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta}s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



atmospheric + K2K

solar + KamLAND

Maltoni, Schwetz, Tortola, Valle, hep-ph/0405172; Fogli, Lisi, Marrone, Palazzo, hep-ph/0506083; Gonzalez-Garcia, Pena-Garay, PRD **68** (2003) 093003; Bahcall, Gonzalez-Garcia, Pena-Garay, JHEP **0408** (2004) 016; de Holanda, Smirnov, Astropart. Phys. **21** (2004) 287; Bandyopadhyay, Choubey, Goswami, Petcov, Roy, hep-ph/0406328; Strumia, Vissani, hep-ph/0503246.

T. Schwetz, GDR neutrino meeting, Paris, 20-21 october 2005 - p.5



Maltoni, Schwetz, Tortola, Valle, hep-ph/0405172; Fogli, Lisi, Marrone, Palazzo, hep-ph/0506083; Gonzalez-Garcia, Pena-Garay, PRD **68** (2003) 093003; Bahcall, Gonzalez-Garcia, Pena-Garay, JHEP **0408** (2004) 016; de Holanda, Smirnov, Astropart. Phys. **21** (2004) 287; Bandyopadhyay, Choubey, Goswami, Petcov, Roy, hep-ph/0406328; Strumia, Vissani, hep-ph/0503246.

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#### Two possibilities for the neutrino mass spectrum:



#### mass-squared differences:

parameter	$\mathbf{bf} {\pm} 1\sigma$	$1\sigma$ acc.	$3\sigma$ range
$\Delta m^2_{21}  [10^{-5} { m eV}^2]$	$7.9 \pm 0.3$	4%	7.1 - 8.9
$ \Delta m^2_{31}  [10^{-3} { m eV}^2]$	$2.2^{+0.37}_{-0.27}$	14%	1.4 - 3.3

#### mixing angles:

parameter	$\mathbf{bf} \pm 1\sigma$	$1\sigma$ acc.	$3\sigma$ range
$\sin^2 heta_{12}$	$0.31\substack{+0.02\\-0.03}$	9%	0.24 - 0.40
$\sin^2 heta_{23}$	$0.50\substack{+0.06\\-0.05}$	11%	0.34 - 0.68
$\sin^2 heta_{13}$	—	_	$\leq 0.046$

updated from M. Maltoni, T. Schwetz, M.A. Tortola and J.W.F. Valle, hep-ph/0405172

evidence for  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  oscillations A. Aguilar *et al.*, PRD 64 (2001) 112007  $87.9 \pm 22.4 \pm 6.0$  excess events  $P = (0.264 \pm 0.067 \pm 0.045)\%$  $\sim 3.3\sigma$  away from zero



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 $\rightarrow$  MiniBooNE results: 2005/2006?



## Adding a sterile neutrino



## Four-neutrino oscillation data

- solar+KamLAND data
- atmospheric+K2K data
- LSND

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- atmospheric+K2K data
- LSND
- no-evidence short-baseline data (NEV) (KARMEN, Bugey, CDHS) provide strong constraints on neutrino mixing in the  $\sim 1~{\rm eV^2}$  range

## Coupling of the data sets



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Maltoni, Schwetz, Tortola, Valle, hep-ph/0207157, hep-ph/0405172





Maltoni, Schwetz, Tortola, Valle, hep-ph/0207157, hep-ph/0405172

(2+2) 50 solar + KamLAND 40 30  $\Delta\chi^2$  $\chi^2_{PC}$ 20 atm XEX XSA 10 0 0.2 0.4 0.8 0.6 0 1  $\eta_s$ 







	SOL	ATM	LSND	NEV	$\chi^2_{ m PG}$	parameter GOF (PG)
(3+1)	0.0	0.4	5.7	10.9	17.0	$1.9 \times 10^{-3}$ $3.1\sigma$
(2+2)	5.3	20.8	0.6	7.3	33.9	$7.8  imes 10^{-7}$ $4.9\sigma$

## 5-neutrino oscillations

(3+2) Mass schemes, Sorel, Conrad, Shaevitz, hep-ph/0305255

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## More exotic proposals

- **3-neutrinos and CPT violation** Murayama, Yanagida 01; Barenboim, Borissov, Lykken 02; Gonzalez-Garcia, Maltoni, Schwetz 03
- 4-neutrinos and CPT violation Barger, Marfatia, Whisnant 03
- Exotic muon-decay Babu, Pakvasa 02
- CPT violating quantum decoherence

Barenboim, Mavromatos 04

mass varying neutrinos

Kaplan, Nelson, Weiner 04; Zurek 04; Barger, Marfatia, Whisnant 05

shortcuts of sterile neutrinos in extra dimensions

Paes, Pakvasa, Weiler 05

• decaying sterile neutrinos Palomares-Riuz, Pascoli, Schwetz 05

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#### LSND and a decaying sterile neutrino

oscillation interpretation



#### LSND and a decaying sterile neutrino

Palomares-Riuz, Pascoli, Schwetz, hep-ph/0505216



postulate decay of heavy neutrino  $\nu_h$  into  $\nu_l$  and a scalar  $\Phi$ 

$$\mathcal{L} = -g \,\bar{\nu}_{lL} \nu_{hR} \,\Phi + \text{h.c.}$$

need  $g m_h \sim eV$  and  $|U_{\mu 4}|^2 \sim 10^{-2}$  (e.g.,  $g \sim 10^{-6} - 10^{-3}$ ,  $m_h \sim keV - MeV$ )

#### LSND and a decaying sterile neutrino

#### Palomares-Riuz, Pascoli, Schwetz, hep-ph/0505216



 $PG_{(3+1)} = 0.002\%, PG_{(3+2)} = 2.1\%$   $PG_{decay} = 4.6\%$ 

#### for the rest of the talk I assume that

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#### $\rightarrow$ Standard three-neutrino oscillation framework

#### **Open questions:**

• Increase the precision on solar and atmospheric parameters (e.g. Is  $\theta_{23}$  exactly 45°?)

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- How small is  $\theta_{13}$ ?
- What is the value of the CP phase  $\delta$ ?
- Type of the neutrino mass ordering (sign of  $\Delta m^2_{31}$ )

# Improving on the 'solar' parameters $\theta_{12}$ and $\Delta m^2_{21}$

## Low energy solar neutrino experiments

#### J.N. Bahcall, Pena-Garay, hep-ph/0305159



- Solar neutrino data 2003
- 3 years simulated KamLAND data
- 5% measurement (1 $\sigma$ ) of the <sup>7</sup>Be flux
- 3% and 1% measurement (1σ) of the *pp* flux

see also S. Choubey, S.T. Petcov, hep-ph/0410283

#### Long-baseline reactor neutrino experiment

S. Choubey, S.T. Petcov, hep-ph/0404103

99% CL	range	spread	range	spread
Data set	$\Delta m^2_{21}/10^{-5} { m eV}^2$	$\Delta m_{21}^2$	$\sin^2  heta_{12}$	$\sin^2 \theta_{12}$
only solar	3.2 - 14.9	65%	0.22 - 0.37	25%
solar+1 kTy KL	6.5 - 8.0	10%	0.23 - 0.37	23%
solar+2.6 kTy KL	6.7 - 7.7	7%	0.23 - 0.36	22%

$$\operatorname{spread}(x) = \frac{x^{\operatorname{upper}} - x^{\operatorname{lower}}}{x^{\operatorname{upper}} + x^{\operatorname{lower}}}$$

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solar+2.6 kTy KL	6.7 - 7.7	7%	0.23 - 0.36	22%
3 yrs SK-Gd	7.0 - 7.4	<mark>3%</mark>	0.25 - 0.37	19%
5 yrs SK-Gd	7.0 - 7.3	2%	0.26 - 0.35	15%

J.F. Beacom, M.R. Vagins, hep-ph/0309300

SK doped with 0.2% Gadolinium (GADZOOKS!) tag neutrons from the reaction  $\bar{\nu}_e + p \rightarrow e^+ + n$ 

## Long-baseline reactor neutrino experiment

#### %-level determination of $\theta_{12}$ : dedicated reactor exp. at ~60 km



S. Choubey, S.T. Petcov, hep-ph/0410283

73 GW kt yr 2% syst. uncert.  $\rightarrow \sin^2 \theta_{12}$  with

2% (6%) at  $1\sigma$  ( $3\sigma$ )

see also J. Bouchiat, hep-ph/0304253; Minakata et al., hep-ph/0407326

# Improving on the 'atmospheric' parameters $heta_{23}$ and $|\Delta m^2_{31}|$

#### $\nu_{\mu}$ -disappearance in LBL accelerator experiments

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upcoming experiments:

- conventional beam experiments MINOS, CNGS
- superbeam experiments T2K, NOVA

assume 5 yrs of running in neutrino mode



Huber, Lindner, Rolinec, Schwetz, Winter, hep-ph/0403068

precision at 
$$3\sigma \equiv \frac{\text{upper}^{(3\sigma)} - \text{lower}^{(3\sigma)}}{\text{true value}}$$

for true values  $|\Delta m_{31}^2| = 2 \cdot 10^{-3} \text{eV}^2$  and  $\sin^2 \theta_{23} = 0.5$ :

	$ \Delta m_{31}^2 $	$\sin^2 heta_{23}$
current	86%	68%
MINOS+CNGS	26%	78%
T2K	12%	46%
ΝΟνΑ	25%	86%
Combination	9%	42%





H. Minakata, M. Sonoyama and H. Sugiyama, hep-ph/0406073

# subsequent generation of LBL experiments like T2HK, CERN-Frejus exps (SPL, BB), NuFact will provide a sub-percent determination of $|\Delta m^2|$ and $\sin^2 2\theta_{23}!$

#### What is the value of $\theta_{13}$ ?

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• relatively large  $\theta_{13}$  opens the possibility to observe generic 3-flavour effects (CP-violation)

- reactor experiments with near and far detectors
   D-Chooz, KASKA, Daya Bay, Angra, Braidwood, RENO
- LBL  $\nu_{\mu} \rightarrow \nu_{e}$  appearance experiments MINOS, CNGS, T2K, NO $\nu$ A, T2HK, SPL, BB, NuFact

# Measuring $\theta_{13}$



# Measuring $\theta_{13}$



## Measuring $\theta_{13}$ by $\nu_{\mu} \rightarrow \nu_{e}$ at beams

# The measurement of $\theta_{13}$ with the $\nu_{\mu} \rightarrow \nu_{e}$ appearance channel suffers from correlations and degeneracies:

G.L. Fogli, E. Lisi, Phys. Rev. D54 (1996) 3667
J. Burguet-Castell et al., Nucl. Phys. B608 (2001) 301
H. Minakata, H. Nunokawa, JHEP 10 (2001) 001
V.Barger, D.Marfatia, K.Whisnant, Phys. Rev. D65 (2002) 073023; D66 (2002) 053007
P.Huber, M.Lindner, W.Winter, Nucl. Phys. B645 (2002) 3; Nucl. Phys. B654 (2003) 3 and many more

# Not $\sin^2 2\theta_{13}$ , but only a specific parameter combination is measured very accurately

#### The $\nu_{\mu} \rightarrow \nu_{e}$ oscillation probability in vacuum

#### $P_{\mu e} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31}$

 $\mp \alpha \sin 2\theta_{12} \sin 2\theta_{13} \sin \delta \cos \theta_{13} \sin 2\theta_{23} \sin^3 \Delta_{31}$ 

 $- \alpha \sin 2\theta_{12} \sin 2\theta_{13} \cos \delta \cos \theta_{13} \sin 2\theta_{23} \cos \Delta_{31} \sin^2 \Delta_{31}$ 

 $+ \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{23} \sin^2 \Delta_{31},$ 

with

$$\Delta_{31} \equiv \frac{\Delta m_{31}^2 L}{4E_{\nu}} , \quad \alpha \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2} = 0.035^{+0.009}_{-0.004}$$

# Measuring $\sin^2 2\theta_{13}$ at reactors

"Clean" measurement of  $\sin^2 2\theta_{13}$ :

$$P_{ee} \approx 1 - \sin^2 2\theta_{13} \sin^2 \frac{\Delta m_{31}^2 L}{4E_{\nu}} + \left(\frac{\Delta m_{21}^2 L}{4E_{\nu}}\right)^2 \cos^4 \theta_{13} \sin^2 2\theta_{12}$$

last term negligible for  $\frac{\Delta m_{31}^2 L}{4E_{\nu}} \sim \pi/2$  and  $\sin^2 2\theta_{13} \gtrsim 10^{-3}$ 

#### determination of $\theta_{13}$ is free of correlations and degeneracies

P. Huber, M. Lindner, T. Schwetz and W. Winter, Nucl. Phys. B 665 (2003) 487 [hep-ph/0303232]H. Minakata, H. Sugiyama, O. Yasuda, K. Inoue and F. Suekane, Phys. Rev. D 68 (2003) 033017

# $\sin^2 2\theta_{13}$ discovery reach evolution



plot by W. Winter from Albrow et al., hep-ex/0509019

$$\begin{split} \Delta m^2_{31} &= +2.5\times 10^{-3} \; \mathrm{eV^2} \\ \sin^2 2\theta_{23} &= 1 \end{split}$$

FPD = Fermilab Proton Driver LBL exps.: neutrinos only

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FPD = Fermilab Proton Driver LBL exps.: neutrinos only  $2^{nd}$ GenPDExp = T2HK NuFact anti- $\nu$  after 2.5 yr

# The CP-phase $\delta$ and the type of the mass hierarchy

#### **CP-phase and hierarchy within ten years**

#### assume $\sin^2 2\theta_{13} = 0.1$



Huber, Lindner, Rolinec, Schwetz, Winter, hep-ph/0403068

#### Sensitivity to CP-violation at $3\sigma$



M.Mezzetto, talk at NuFact 2006

SPL:  $2\nu$ + $8\overline{\nu}$  yr, 440 kton

 $\beta$ B: 5 $\nu$ +5 $\bar{\nu}$  yr, 440 kton

 $\beta B + SPL$ 

T2HK:  $2\nu$ + $8\overline{\nu}$  yr, 440 kton

NuFact:  $5\nu$ + $5\overline{\nu}$  yr, 2×50 kton at 3500 and 7000 km

#### Parameter degeneracies in LBL experiments provide a severe limitation for the determination of $\theta_{13}$ , the CP phase $\delta$ and the hierarchy!



allowed regions at  $2\sigma$ , 99%,  $3\sigma$  CL

true values:  $\sin^2 2\theta_{13} = 0.03$   $\delta = -0.85\pi$   $\sin^2 \theta_{23} = 0.4$  $\Delta m_{31}^2 = 2.2 \times 10^{-3} \text{eV}^2$ 



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ambiguities in  $\theta_{13}$  and  $\delta$ no information on the hierarchy

several possibilities to resolve the degeneracies are known:

 combining information from detectors at different baselines and/or energies
 e.g., second osc. maximum, different off-axis angle

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- combing data from LBL and atmospheric neutrino experiments

#### Some comments on the hierarchy determination

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  - very long baseline ( $\gtrsim 700$  km) in beam exps.
  - atmospheric neutrinos
  - supernova neutrinos



 $NO\nu A$  proposal, hep-ex/0503053

NO $\nu$ A: 30 kt at 810 km  $3\nu$ + $3\overline{\nu}$  yrs

PD = proton driver

2<sup>nd</sup> Det: 50 kt detector at 710 km, 30 km off-axis

 $3\nu+3\overline{\nu}$  yrs NO $\nu$ A+PD +  $3\nu+3\overline{\nu}$  yrs NO $\nu$ A+PD+2<sup>nd</sup> Det = 12 yrs total

#### Combining LBL and atmospheric neutrino data

#### Combining LBL and atmospheric neutrino data

Huber, Maltoni, Schwetz, PRD71, 053006 (2005) [hep-ph/0501037]



blue: LBL only, red: ATM only, shading: LBL+ATM

450 kton,  $2\nu$ + $8\overline{\nu}$  yrs LBL data, 50 present SK ATM data

atmospheric  $\nu$ s with a magnetized iron detector (INO)

#### atmospheric $\nu$ s with a magnetized iron detector (INO)

# of events needed for a  $2\sigma$  hierarchy determination



Petcov, Schwetz, in preparation

 $S_{\mu}$  ( $S_{\mu}^{high}$ ):  $\mu$ -like data with 15% (5%) energy, 15° (5°) direction resolution  $S_e$ : *e*-like data with 85% charge identification

30 kt, 10 yrs  $\rightarrow$   $\sim$  1200  $\mu$ -events

#### Summary

#### Summary

#### present



#### Summary

#### present



#### future


### Summary

#### present



#### future



#### be prepared for surprises: MiniBooNE

### additional slides

## Oscillatory signal in atmospheric neutrinos

Super-K Coll., Phys. Rev. Lett. 93 (2004) 101801



$$P_{2\nu} = 1 - \sin^2 2\theta \, \sin^2 \left(\frac{\Delta m^2}{4} \frac{L}{E_{\nu}}\right)$$

# 'Solar' parameters

#### global solar neutrino data: Homestake,SAGE,GNO,SK,SNO



# 'Solar' parameters

#### global solar neutrino data: Homestake,SAGE,GNO,SK,SNO



The SNO experiment:  $\nu_e + d \rightarrow p + p + e^ \nu_x + d \rightarrow p + n + \nu_x$ SNO-II 391d nucl-ex/0502021  $\frac{\phi_{CC}}{\phi_{NC}} = 0.340 \pm 0.023 \pm 0.030$ 

 $7\sigma$  evidence for a non-zero  $\nu_{\mu,\tau}$  flux from the sun

constraint on  $\theta_{12}$ :

 $\frac{\phi_{CC}}{\phi_{NC}} \approx P_{ee}^{\rm SNO} \approx \sin^2 \theta_{12}$ 

 $\sin^2 heta_{12} = 0.30^{+0.02}_{-0.03} 
ightarrow 0.3$  the schwetz GBR neutrino meeting, Paris, 20–21 october 2005 – p.52

# The KamLAND energy spectrum



evidence for flux suppression and spectral distortion

# KamLAND vs solar data



## KamLAND vs solar data



 $\Delta m^2 = 7.9 \pm 0.3 \times 10^{-5} \text{ eV}^2$ ,  $\sin^2 \theta_{12} = 0.31^{+0.02}_{-0.03}$ 

# The bound on $\theta_{13}$

### CHOOZ bound depends on the value of $\Delta m_{13}^2$



CHOOZ+atm+K2K:  $\sin^2 \theta_{13} < 0.029 (0.067)$ 

# The bound on $\theta_{13}$

### solar data contribute for low $\Delta m_{13}^2$



CHOOZ+atm+K2K:  $\sin^2 \theta_{13} < 0.029 (0.067)$ solar+KamL:  $\sin^2 \theta_{13} < 0.041 (0.079)$ global:  $\sin^2 \theta_{13} < 0.021 (0.046)$ 

# The $\theta_{13}$ bound from KamLAND and solar

#### complementarity between solar and KamLAND data



$$P_{\text{KL}} = \left(1 - 2\sin^2\theta_{13}\right) \left(1 - \sin^2 2\theta_{12} \sin^2 \frac{\Delta m_{21}^2 L}{4E_{\nu}}\right)$$
$$P_{\text{Sol}} \approx \left(1 - 2\sin^2\theta_{13}\right) \begin{cases} \sin^2\theta_{12} & \text{high } E_{\nu} \\ (1 - 0.5\sin^2 2\theta_{12}) & \text{low } E_{\nu} \end{cases}$$

# The global bound on $\theta_{13}$



### $\sin^2 \theta_{13} < 0.021 (0.046)$ at 90% CL (3 $\sigma$ )

# $\sin^2 2\theta_{13}$ -limit within the next ten years



Huber, Lindner, Rolinec, Schwetz, Winter, hep-ph/0403068

# Potential if $\sin^2 2\theta_{13}$ turns out to be large



# Potential if $\sin^2 2\theta_{13}$ turns out to be large

#### Superbeam anti-neutrino running vs reactor experiments



# $\theta_{13}$ *limit*

